

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2001

Note The organization may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2001, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name changeUse the IRS label  
Name of organization  
ROSE-MARIE AND JACK R. ANDERSON  
Otherwise, print or type  
FOUNDATION

A Employer identification number

75-2542403

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

16475 DALLAS PARKWAY

735

City or town, state and ZIP code

ADDISON, TX 75001

B Telephone number

(972) 248-7350

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, col (c), line 16)J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

\$ 34,762,136. (Part I, column (d) must be on cash basis)

C If exemption application is pending check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test  
check here and attach computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60 month termination  
under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b),  
(c), and (d) may not necessarily equal  
the amounts in column (a))(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income  
N/A(d) Disbursements  
for charitable  
purposes  
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received Check <input type="checkbox"/> if the foundation is not required to attach Sch B	21,941.			
	2	Distributions from split-interest trusts				
	3	Interest on savings and temporary cash investments	124,543.	124,543.		STATEMENT 2
	4	Dividends and interest from securities	1,432,787.	1,432,787.		STATEMENT 3
	5a	Gross rents				
	b	(Net rental income or (loss))				
	6a	Net gain or (loss) from sale of assets not on line 10	<1,162,288.>			STATEMENT 1
	b	Gross sales price for all assets on line 6a	6,193,604.			
	7	Capital gain net income (from Part IV line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	Total Add lines 1 through 11	416,983.	1,557,330.		
	13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 4	2,850.	713.		2,138.
	c	Other professional fees STMT 5	104,648.	26,036.		78,613.
	17	Interest				
	18	Taxes STMT 6	27,000.	0.		0.
Operating and Administrative Expenses	19	Depreciation and depletion	378.	0.		
	20	Occupancy				
	21	Travel, advertising, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 7	166.	80.		86.
	24	Total operating and administrative expenses Add lines 13 through 23	135,042.	26,829.		80,837.
	25	Total contributions, gifts, grants paid	1,761,140.			1,761,140.
	26	Total expenses and disbursements Add lines 24 and 25	1,896,182.	26,829.		1,841,977.
	27	Subtract line 26 from line 12	<1,479,199.>			
	a	Excess of revenue over expenses and disbursements		1,530,501.		
	b	Net investment income (if negative enter -0-)				
	c	Adjusted net income (if negative enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		10,896,924.	7,516,510.	7,516,510.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		15,811,523.	19,155,658.	19,752,503.
	b	Investments - corporate stock STMT 9		13,214,000.	13,264,073.	7,487,069.
	c	Investments - corporate bonds		1,493,500.		
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶	24,833.				
	Less: accumulated depreciation STMT 10 ▶	24,266.	945.	567.	567.	
15	Other assets (describe ▶ STATEMENT 11)		4,602.	5,487.	5,487.	
16	Total assets (to be completed by all filers)		41,421,494.	39,942,295.	34,762,136.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Organizations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		41,421,494.	39,942,295.	
30	Total net assets or fund balances		41,421,494.	39,942,295.		
31	Total liabilities and net assets/fund balances		41,421,494.	39,942,295.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,421,494.
2	Enter amount from Part I, line 27a	2	<1,479,199.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	39,942,295.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) Part II, column (b), line 30	6	39,942,295.

Form 990-PF (2001)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENTS			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 6,193,604.		7,355,892.	<1,162,288.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			<1,162,288.>

  

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter 0 in Part I, line 7 }	2	<1,162,288.>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2000	1,944,325.	37,086,480.	.0524268
1999	1,870,823.	39,606,737.	.0472350
1998	1,661,170.	39,402,289.	.0421592
1997	1,100,487.	36,742,218.	.0299516
1996	1,049,290.	25,789,075.	.0406874

<b>2</b> Total of line 1, column (d)	2	.2124600
<b>3</b> Average distribution ratio for the 5-year base period divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0424920
<b>4</b> Enter the net value of noncharitable use assets for 2001 from Part X, line 5	4	35,668,342.
<b>5</b> Multiply line 4 by line 3	5	1,515,619.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	15,305.
<b>7</b> Add lines 5 and 6	7	1,530,924.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,841,977.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling letter _____ (attach copy of ruling letter if necessary-see instructions)		1	15,305.
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic organizations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	15,305.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
3 Add lines 1 and 2		5	15,305.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		6a	22,446.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		6b	
6 Credits/Payments		6c	
a 2001 estimated tax payments and 2000 overpayment credited to 2001		6d	
b Exempt foreign organizations - tax withheld at source		7	22,446.
c Tax paid with application for extension of time to file (Form 8868)		8	96.
d Backup withholding erroneously withheld		9	
7 Total credits and payments Add lines 6a through 6d		10	7,045.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2002 estimated tax <b>7,045.</b> Refunded			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the organization in connection with the activities		X
1c Did the organization file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the organization <b>\$ 0.</b> (2) On organization managers <b>\$ 0.</b>		
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers <b>\$ 0.</b>		
2 Has the organization engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the organization have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>TEXAS</b>		
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2001 or the taxable year beginning in 2001 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application? Web site address <b>N/A</b>	11	X
12 The books are in care of <b>NEIL R. ANDERSON</b> Telephone no <b>972-248-7350</b> Located at <b>16475 DALLAS PARKWAY, SUITE 735, ADDISON, TX</b> ZIP+4 <b>75001</b>		
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax exempt interest received or accrued during the year	13	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies

	Yes	No
<b>1a</b> During the year did the organization (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 19 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>	<b>1b</b> <input checked="" type="checkbox"/>
<b>c</b> Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2001?		<b>1c</b> <input checked="" type="checkbox"/>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2001, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2001?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years		
<b>b</b> Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement see instructions.)	N/A	<b>2b</b>
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
<b>3a</b> Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If "Yes," did it have excess business holdings in 2001 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2001.)	N/A	<b>3b</b>
<b>4a</b> Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?		<b>4a</b> <input checked="" type="checkbox"/>
<b>b</b> Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2001?		<b>4b</b> <input checked="" type="checkbox"/>
<b>5a</b> During the year did the organization pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	<b>5b</b>
<b>c</b> If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d)		
<b>6a</b> Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b> <input checked="" type="checkbox"/>
If you answered "Yes" to 6b, also file Form 8870		

## Part VIII

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation**

[illegible]

**2 Compensation of five highest-paid employees (other than those included on line 1) If none, enter "NONE"**

[illegible]**Total number of other employees paid over \$50,000**

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE "

[illegible]

**Total number of others receiving over \$50,000 for professional services**

0

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 <b>N/A</b>	
2	
All other program-related investments See instructions	
3	
<b>Total</b> Add lines 1 through 3	<b>0.</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1a	28,357,842.
a Average monthly fair market value of securities	1b	7,833,133.
b Average of monthly cash balances	1c	20,540.
c Fair market value of all other assets	1d	36,211,515.
d Total (add lines 1a, b, and c)		
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	36,211,515.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	543,173.
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	35,668,342.
6 Minimum investment return Enter 5% of line 5	6	1,783,417.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	1,783,417.
2a Tax on investment income for 2001 from Part VI, line 5	2a	15,305.
b Income tax for 2001 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	15,305.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	1,768,112.
4a Recoveries of amounts treated as qualifying distributions	4a	0.
b Income distributions from section 4947(a)(2) trusts	4b	0.
c Add lines 4a and 4b	4c	0.
5 Add lines 3 and 4c	5	1,768,112.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,768,112.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	1a	1,841,977.
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b Program-related investments - Total from Part IX-B	2	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,841,977.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	15,305.
6 Adjusted qualifying distributions Subtract line 5 from line 4	6	1,826,672.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2000	(c) 2000	(d) 2001
<b>1</b> Distributable amount for 2001 from Part XI, line 7				1,768,112.
<b>2</b> Undistributed income if any as of the end of 2000				
<b>a</b> Enter amount for 2000 only			1,744,872.	
<b>b</b> Total for prior years 19____, 19____, 19____		0.		
<b>3</b> Excess distributions carryover if any to 2001				
<b>a</b> From 1996				
<b>b</b> From 1997				
<b>c</b> From 1998				
<b>d</b> From 1999				
<b>e</b> From 2000				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2001 from Part XII, line 4 ▶ \$ 1,841,977.				
<b>a</b> Applied to 2000, but not more than line 2a			1,744,872.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2001 distributable amount				97,105.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2001 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount see instructions		0.		
<b>e</b> Undistributed income for 2000 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2001 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2002				1,671,007.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 1996 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2002 Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 1997				
<b>b</b> Excess from 1998				
<b>c</b> Excess from 1999				
<b>d</b> Excess from 2000				
<b>e</b> Excess from 2001				



N/A

- b Check box to indicate whether the organization is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- b 85% of line 2a

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon

- "Assets" alternative test - enter

- (1) Value of all assets

- (2) Value of assets qualifying  
under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test

- Enter 2/3 of minimum investment  
return shown in Part X, line 6 for  
each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year-see page 26 of the instructions.)</b>
----------------	---

## 1 Information Regarding Foundation Managers

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 13

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here ☒ if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

N/A

- b The form in which applications should be submitted and information and materials they should include**

N/A

- c Any submission deadlines**

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV	Supplementary Information (continued)
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## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
<b>a Paid during the year</b>					
<b>SEE STATEMENT 14</b>					
<b>Total</b>				<b>► 3a</b>	<b>1,761,140.</b>
<b>b Approved for future payment</b>					
<b>NONE</b>					
<b>Total</b>				<b>► 3b</b>	<b>0.</b>

**Part XVI-A      Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	124,543.	
4 Dividends and interest from securities				14	1,432,787.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	<1,162,288.>	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)			0.		395,042.	0.
13 Total Add line 12, columns (b), (d), and (e)					▶ 13 395,042.	

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
(Form 990, 990-EZ, or  
990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

Supplementary Information for  
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

OMB No 1545-0047

**2001**

Name of organization

ROSE-MARIE AND JACK R. ANDERSON  
FOUNDATION

Employer identification number

75-2542403

Organization type (check one)

Filers of

Section

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General rule** or a **Special rule** (Note Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule-see instructions)

**General Rule-**

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

**Special Rules-**

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms (Complete Parts I and II)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or Form 990-EZ that received from any one contributor during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year) ► \$

**Caution** Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2001)

## Name of organization

ROSE-MARIE AND JACK R. ANDERSON  
FOUNDATION

## Employer identification number

75-2542403

**Part I Contributors** (See Specific Instructions)

(a) No	(b) Name, address and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MR. & MRS. JACK R. ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	THE TODD OVERTON TRUST 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	\$ 21,941.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

ROSE-MARIE AND JACK R. ANDERSON  
FOUNDATION

75-2542403

**Part II    Noncash Property** (See Specific Instructions )[illegible]

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a REDEMPTION OF 1000 UNITS OF 02/01 FNMA 5.36% BOND	P	05/09/96	02/16/01
b REDEMPTION OF 1000 UNITS OF 12/01 FFCB 6.33% BOND	P	05/12/97	12/24/01
c SALE OF 1000 UNITS OF 09/02 FNMA 6.30% BONDS	P	11/01/99	01/22/01
d SALE OF 1000 UNITS OF 07/04 FNMA 6.89% BONDS	P	09/04/97	01/05/01
e SALE OF 1000 UNITS OF 11/05 FHLM 6.02% BONDS	P	06/22/99	08/03/01
f SALE OF 1000 UNITS OF 07/08 FHLMC 6.59% BONDS	P	06/19/00	08/28/01
g EXPIRED WRITTEN CALLS ON PHSY \$40 EXP 5/19/01	P	02/09/01	05/19/01
h EXPIRED WRITTEN CALLS ON PHSY \$45 EXP 5/19/01	P	02/09/01	05/19/01
i EXPIRED WRITTEN CALLS ON PHSY \$50 EXP 5/19/01	P	02/09/01	05/19/01
j WORTHLESS SUN FINANCING 7% CONV TRUST	P	09/24/98	12/31/01
k WORTHLESS SUN FINANCING 7% CONV TRUST	P	10/05/98	12/31/01
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,000,000.		947,192.	52,808.
b 1,000,000.		992,505.	7,495.
c 1,000,000.		994,724.	5,276.
d 1,000,000.		1,004,068.	<4,068.>
e 1,000,000.		976,099.	23,901.
f 1,000,000.		947,804.	52,196.
g 84,048.			84,048.
h 64,119.			64,119.
i 45,437.			45,437.
j		831,125.	<831,125.>
k		662,375.	<662,375.>
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "0")
a			52,808.
b			7,495.
c			5,276.
d			<4,068.>
e			23,901.
f			52,196.
g			84,048.
h			64,119.
i			45,437.
j			<831,125.>
k			<662,375.>
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<1,162,288.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "0" in Part I, line 8 }	3	N/A



Asset No	Description	Date Acquired			Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis - ITC, 179, Salvage	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Amount Of Depreciation
1	ORGANIZATIONAL COSTS	06	13	94	248	60M	42	22,864.			22,864.	22,864.		0.
2	OFFICE EQUIPMENT	04	15	99	200DB	5.00	17	985.			985.	512.		189.
3	OFFICE EQUIPMENT	06	15	99	200DB	5.00	17	984.			984.	512.		189.
	* TOTAL 990-PF PG 1 DEPR & AMORT							24,833.		0.	24,833.	23,888.	0.	378.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
REDEMPTION OF 1000 UNITS OF 02/01 FNMA 5.36% BONDS	PURCHASED	05/09/96	02/16/01

  

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,000,000.	947,192.	0.	0.	52,808.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
REDEMPTION OF 1000 UNITS OF 12/01 FFCB 6.33% BONDS	PURCHASED	05/12/97	12/24/01

  

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,000,000.	992,505.	0.	0.	7,495.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALE OF 1000 UNITS OF 09/02 FNMA 6.30% BONDS	PURCHASED	11/01/99	01/22/01

  

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,000,000.	994,724.	0.	0.	5,276.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SALE OF 1000 UNITS OF 07/04 FNMA 6.89% BONDS	1,000,000.	1,004,068.	0.	0.	<4,068.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SALE OF 1000 UNITS OF 11/05 FHLM 6.02% BONDS	1,000,000.	976,099.	0.	0.	23,901.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SALE OF 1000 UNITS OF 07/08 FHLMC 6.59% BONDS	1,000,000.	947,804.	0.	0.	52,196.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
EXPIRED WRITTEN CALLS ON PHSY \$40 EXP 5/19/01	84,048.	0.	0.	0.	84,048.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
EXPIRED WRITTEN CALLS ON PHSY \$45 EXP 5/19/01	PURCHASED	02/09/01	05/19/01	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
64,119.	0.	0.	0.	64,119.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
EXPIRED WRITTEN CALLS ON PHSY \$50 EXP 5/19/01	PURCHASED	02/09/01	05/19/01	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
45,437.	0.	0.	0.	45,437.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
WORTHLESS SUN FINANCING 7% CONV TRUST	PURCHASED	09/24/98	12/31/01	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	831,125.	0.	0.	<831,125.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
WORTHLESS SUN FINANCING 7% CONV TRUST	PURCHASED	10/05/98	12/31/01	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	662,375.	0.	0.	<662,375.>

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	<1,162,288.>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
MERRILL LYNCH	124,543.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	124,543.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
01/06 FFCB 5.625%	28,125.	0.	28,125.
02/06 FNMA 5.5%	26,278.	0.	26,278.
04/09 FNMA 6.5%	130,000.	0.	130,000.
06/05 TVA 6.375%	63,750.	0.	63,750.
07/04 FHLMC 6.25%	62,188.	0.	62,188.
07/08 FHLB 5.84%	29,200.	0.	29,200.
08/07 FHLB 6.75%	64,875.	0.	64,875.
10/03 FNMA 5.45%	54,500.	0.	54,500.
10/07 FFCB 6.8%	68,000.	0.	68,000.
11/5 FHLM 6.02%	42,809.	0.	42,809.
12/01 FFCB 6.33%	63,300.	0.	63,300.
2/01 FNMA 5.36%	26,800.	0.	26,800.
2/04 FNMA 5.125%	51,250.	0.	51,250.
2/05 FHLB 5.92%	59,200.	0.	59,200.
2/06 FHLM 6.13%	61,300.	0.	61,300.
2/08 FNMA 5.75%	57,500.	0.	57,500.
5/02 FHLB 6.615%	132,300.	0.	132,300.
5/07 FNMA 7.50%	75,000.	0.	75,000.
7/04 FNMA 6.89%	33,110.	0.	33,110.
7/08 FHLMC 6.59%	72,307.	0.	72,307.
8/03 FFCB 6.55%	65,500.	0.	65,500.
9/02 FNMA 6.30%	20,475.	0.	20,475.
DREYFUS CM	199,398.	0.	199,398.
LESS PURCHASED INTEREST: 06/05 TVA 6.375%	<12,750.>	0.	<12,750.>
LESS PURCHASED INTEREST: 07/04 FHLMC 6.25%	<30,576.>	0.	<30,576.>
LESS: PURCHASED INTEREST 01/06 FFCB 5.625%	<313.>	0.	<313.>
LESS: PURCHASED INTEREST 02/06 FNMA 5.5%	<23,986.>	0.	<23,986.>
LESS: PURCHASED INTEREST 04/09 FNMA 6.5%	<31,236.>	0.	<31,236.>
LESS: PURCHASED INTEREST 07/08 FHLB 5.84%	<1,784.>	0.	<1,784.>
LESS: PURCHASED INTEREST 08/07 FHLB 6.75%	<27,375.>	0.	<27,375.>

LESS: PURCHASED INTEREST 10/07

FFCB 6.8%	<3,589.>	0.	<3,589.>
PROTECTIVE LIFE CORPORATION	23,866.	0.	23,866.
S&P 500 INDEX	50,073.	0.	50,073.
U.S. CLEARING	3,292.	0.	3,292.
TOTAL TO FM 990-PF, PART I, LN 4	1,432,787.	0.	1,432,787.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING SERVICES	2,850.	713.		2,138.
TO FORM 990-PF, PG 1, LN 16B	2,850.	713.		2,138.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	96,000.	24,000.		72,000.
INVESTMENT EXPENSES - SHEARMAN RALSTON, INC.	98.	98.		0.
CONSULTING FEES	7,750.	1,938.		5,813.
OTHER CONTRACT SERVICES	800.	0.		800.
TO FORM 990-PF, PG 1, LN 16C	104,648.	26,036.		78,613.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX ON INVESTMENT INCOME	27,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	27,000.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DAILY COMMERCIAL RECORD					
NOTICE	54.	0.		54.	
BANK CHARGES	112.	80.		32.	
TO FORM 990-PF, PG 1, LN 23	166.	80.		86.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
8/03 FFCB 6.55%	X		1,000,005.	1,047,970.	
5/02 FHLB 6.615%	X		2,000,630.	2,029,380.	
10/03 FNMA 5.45%	X		935,005.	1,033,280.	
02/05 FHLB 5.92%	X		1,011,255.	1,048,440.	
02/06 FHLM 6.13%	X		1,020,318.	1,054,690.	
02/08 FNMA 5.75%	X		1,008,915.	1,028,590.	
02/04 FNMA 5.125%	X		939,029.	1,034,220.	
05/07 FNMA 7.50%	X		1,012,787.	1,073,280.	
10/07 FFCB 6.8%	X		1,021,187.	1,082,030.	
06/05 TVA 6.375%	X		1,042,816.	1,062,810.	
07/04 FHLMC 6.25%	X		1,034,026.	1,053,923.	
01/06 FFCB 5.625%	X		1,007,343.	1,036,720.	
02/06 FNMA 5.5%	X		1,019,810.	1,030,310.	
08/07 FHLB 6.75%	X		1,060,580.	1,083,750.	
07/08 FHLB 5.84%	X		999,981.	1,025,780.	
08/08 FFCB 5.9%	X		1,035,679.	1,028,590.	
04/09 FNMA 6.5%	X		2,006,292.	1,998,740.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			19,155,658.	19,752,503.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			19,155,658.	19,752,503.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
S&P 500 INDEX	2,410,385.	4,191,188.
102,529 SHARES OF PHSY COMMON	9,059,125.	1,640,464.
43,359 SHARES OF PROTECTIVE LIFE COMMON	1,495,500.	1,255,417.
SHARES OF PHSY COMMON	299,063.	400,000.
TOTAL TO FORM 990-PF, PART II, LINE 10B	13,264,073.	7,487,069.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
ORGANIZATIONAL COSTS	22,864.	22,864.	0.
OFFICE EQUIPMENT	985.	701.	284.
OFFICE EQUIPMENT	984.	701.	283.
TOTAL TO FM 990-PF, PART II, LN 14	24,833.	24,266.	567.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MISCELLANEOUS RECEIVABLES	2,373.	2,373.
PURCHASED INTEREST	3,114.	3,114.
TOTAL TO FORM 990-PF, PART II, LINE 15	5,487.	5,487.



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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT    12  
    TRUSTEES AND FOUNDATION MANAGERS

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JACK R. ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	DIR 1	0.	0.	0.
ROSE-MARIE ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	DIR, SECR .25	0.	0.	0.
NEIL R. ANDERSON 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	DIR, PRES, TR 25	0.	0.	0.
BARBARA ANDERSON MCDONALD 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	DIR, ASST SEC .25	0.	0.	0.
GAIL ANDERSON CANIZARES 16475 DALLAS PARKWAY, SUITE 735 ADDISON, TX 75001	DIR, ASST TR .25	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

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FORM 990-PF                      PART XV - LINE 1A                      STATEMENT    13  
    LIST OF FOUNDATION MANAGERS

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NAME OF MANAGER

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JACK R. ANDERSON  
ROSE-MARIE ANDERSON

**Anderson Foundation**  
**YEAR 2001**

	<u>STATUS</u>	<u>PURPOSE</u>	<u>RELATIONSHIP</u>	<u>2001 DONATION</u>
Alaska Aids Vaccine Ride 135 S LaSalle, Dept. 3004 Chicago, IL 60674-3004	Public	Health	None	-0-
America's Promise 909 N Washington St., Ste 400 Alexandria, VA 22314-1556	Public	Health Educ Welfare	None	\$25,000
American Heart Association 237 East Marks Street Orlando, FL 32803	Public	Health	None	1,000
AmeriCares 161 Cherry Street New Canaan, CT 06840	Public	Health	None	2,000
Amy Biehl Foundation P O Box 14 La Quinta, CA 92253-0014	Public	Health Educ Welfare	None	-0-
ATAC Swim Club P O Box 261449 Plano, TX 75206-1449	Public	Health	None	500
Beta Gamma Sigma Sch Fd 11701 Borman Drive, Ste 320 St. Louis, MO 63146	Public	Education	None	1,000
Big Brothers and Big Sisters Of America 230 North 13 <sup>th</sup> Street Philadelphia, PA 19107	Public	Health, Educ. Welfare	None	2,000
Black Mountain Conservancy P O Box 7192 Cave Creek, AZ 85327	Public	Environment	None	10,000
Boys and Girls Club of Amer 1230 West Peachtree St. NW Atlanta, GA 30309	Public	Health, Educ Welfare	None	2,000
Boy Scouts of America The Empire State Building 350 Fifth Avenue New York, NY 10118-0199	Public	Health, Educ. Welfare	None	-0-

Catholic Charities USA 1731 King Street, #200 Alexandria, VA 22314	Public	Health, Educ. Welfare	None	2,000
Center for the Arts Development Office 3001 Riverside Park Drive Vero Beach, FL 32963-1807	Public	Education	None	1,500
Children First America 901 McCain Road, Ste 802 P O Box 330 Bentonville, AR 72712	Public	Education	None	10,000
Children First Columbus Fdn 66 East Lynn Street Columbus, OH 43215	Public	Education	None	-0-
Children's Education Fund P O Box 225748 Dallas, TX 75222-5748	Public	Education	None	25,000
Children's Scholarship Fund 7 West 57 <sup>th</sup> Street New York, NY 10019-3404	Public	Education	None	250,000
Cleveland Clinic 9500 Euclid Avenue (T-40) Cleveland, OH 44195	Public	Medicine	None	5,000
Commonweal Foundation 10770 Columbia Pike, Ste 100 Silver Spring, MD 20901	Public	Education	None	25,000
Community Educ. Partnership P O Box 5028 Carefree, AZ 85377	Public	Education	None	20,000
Dallas Opera 3102 Oak Lawn Ave., Ste 450 Dallas, TX 75219	Public	The Arts	None	5,000
Desert Foothills Land Trust P O Box 4861 Cave Creek, AZ 85327	Public	Environment	None	10,000
Fdn for Teaching Economics 260 Russell Blvd, Ste B Davis, CA 95616	Public	Education	None	10,000

Floridians for School Choice 1000 Brickell Avenue, Suite 900 Miami, FL 33131	Public	Education	None	10,000
Foothills Community Fdn P O Box 5713 Carefree, AZ 85377	Public	Comm Service Educ., Culture	None	1,000
FRAXA Research Foundation 45 Pleasant Street Newburyport, MA 01950	Public	Health Educ Welfare	None	1,000
Fred Hutchinson Cancer Res 1124 Columbia Street Seattle, WA 98104	Public	Medicine	None	5,000
Friends of Bendwood PTA SBISD, Bendwood Campus 12750 Kimberley Houston, TX 77024	Public	Education	None	1,500
Friends of Edna Adan Hospital P O Box 2105 Maple Grove, MN 55311-9998	Public	Health	None	1,000
Friends of Spring Branch Memorial Public Library (same as Memorial Drive Elementary) 955 Campbell Road Houston, TX 77024-2803	Public	Literacy	None	-0-
Futures Foundation, Plano ISD 2700 West 15 <sup>th</sup> Plano, TX 75075	Public	Education	None	2,000
George Mason University Fdn 3301 N. Fairfax Drive, Ste 450 Arlington, VA 22201-4433	Public	Education	None	5,000
Grand Canyon Council of the Boy Scouts 2969 N Greenfield Road Phoenix, AZ 85016-7715	Public	Health Educ Welfare	None	-0-
Greenhill School 4141 Spring Valley Road Addison, TX 75001	Public	Education	None	2,000

Greenwich Land Trust P O Box 1152 Greenwich, CT 06836	Public	Environment	None	1,000
Greenwich Library 101 W. Putnam Avenue Greenwich, CT 06830	Public	Literacy	None	2,000
Heard Museum 22 East Monte Vista Road Phoenix, AZ 85004	Public	Education	None	5,000
Heritage Foundation 214 Massachusetts Ave., NE Washington, DC 20002-4999	Public	Education	None	5,000
Hoover Institution Stanford University Stanford, CA 94305	Public	Education	None	50,000
Houston CEO Foundation 952 Echo Lane, Suite 350 Houston, TX 77024	Public	Education	None	25,000
Indian River Hospital Fdn 1000 36 <sup>th</sup> Street Vero Beach, FL 32960	Public	Health	None	5,000
John Hopkins-Brady Urol. Inst James Buchanan Brady Urological Institute 1620 McElderry Street Baltimore, MD 21205-1911	Public	Medicine	None	5,000
Kappa Delta Scholarship Fd 3205 Players Lane Memphis, TN 38125	Public	Education	None	10,000
Kidd's Kids 8235 Douglas Ave, Ste 300 Dallas, TX 75225	Public	Health	None	1,250
Kinkaid School 201 Kinkaid School Drive Houston, TX 77024	Public	Education	None	1,000
Marjann Dadakis Spanish Sch. c/o Greenwich Education Assoc. 132 E Putnam Avenue, Ste E Cos Cob, CT 06807	Private	Education	None	2,000

Mayo Foundation 13400 East Shea Boulevard Scottsdale, AZ 85259	Public	Health	None	10,000
MD Anderson Cancer Center 1515 Holcombe Boulevard Houston, TX 77030-4095	Public	Health	None	5,000
Memorial Drive Elementary PTA 12202 Smithdale Houston, TX 77024	Public	Education	None	2,000
Memorial Sloan-Kettering 1275 York Avenue New York, NY 10021	Public	Health	None	5,000
Medical College of Virginia Hospitals P O Box 980234 Richmond, VA 23298-0234	Public	Health	None	-0-
Metropolitan Museum of Art 1000 Fifth Avenue New York, NY 10028	Public	The Arts	None	6,000
Miami Univ School of Bus. 104 Laws Hall Oxford, OH 45056	Public	Education	None	10,000
Military Order of Purple Heart 1717 Mockingbird Lane Denison, TX 75020	Public	Health, Educ Welfare	None	5,000
Milton & Rose D. Friedman Fdn One American Square Box 82078 Indianapolis, IN 46282	Public	Education	None	250,000
National Acad Sci, Engr & Institute of Medicine 2101 Constitution Ave , NW Washington, DC 20418	Public	Medicine	None	25,000
National Ctr for Policy Analysis 12655 N Central Expy, Ste 720 Dallas, TX 75243	Public	Health, Educ Welfare	None	10,000

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National Museum of Women In The Arts 1250 New York Avenue, NW Washington, DC 20005	Public	The Arts	None	1,000
Nature Conservancy of Alaska 421 West First Avenue, Ste 200 Anchorage, AK 99501	Public	Environment	None	1,000
Naval War College Fdn Naval War College 686 Cushing Road Newport, RI 02841	Public	Education	None	1,000
Navy League of the U S 2300 Wilson Boulevard Arlington, VA 22201	Public	Education	None	1,000
Nelson Mandela Foundation c/o Shared Interest 121 West 27 <sup>th</sup> St., Ste 302 New York, NY 10001	Public	Health, Educ, Welfare, Peace	None	50,000
New York Presbyterian Hosp. 161 Fort Washington Avenue New York, NY 10032	Public	Health	None	5,000
NY Times 9/11 Neediest Fund The New York Times 229 West 43 <sup>rd</sup> Street New York, NY 10036	Public	Health, Educ Welfare	None	10,000
New York University Med Ctr 550 First Avenue New York, NY 10016	Public	Medicine	None	1,000
Oklahoma State Univ Fdn P O Box 1749 Stillwater, OK 74076	Public	Education	None	1,250
Owen Grad School of Mgmt Vanderbilt University 201 Alumni Hall Nashville, TN 37240	Public	Education	None	5,000
Pathways, Inc. 175 Milbank Avenue Greenwich, CT 06830	Public	Mental Illness	None	8,640

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Presbyterian Hospital NY Columbia Univ Dev Office 100 Haven Avenue, Ste 29D New York, NY 10032	Public	Health	None	5,000
Riverside Theatre, Inc. P O Box 3788 Vero Beach, FL 32964	Public	The Arts	None	1,000
Rodgers Baptist Church 2822 S. Jupiter Road Garland, TX 75041	Public	Health, Educ Welfare	None	1,000
Special Equestrians of the Treasure Coast P O Box 3142 Vero Beach, FL 32964	Public	Health, Educ Welfare	None	1,000
St. John's School 2401 Claremont Lane Houston, TX 77019	Public	Education	None	1,000
Susan G. Komen Fdn 5005 LBJ Freeway, Ste 250 Dallas, TX 75244	Public	Medicine	None	1,250
Ten Acre Ranch 6067 Beach Street Riverside, CA 92509	Public	Health	None	5,000
The Amer. School of Hague Rijksstraatweg 200 2241 BX Wassenaar The Netherlands	Public	Education	None	20,000
The Family Place P O Box 7999 Dallas, TX 75209	Public	Health, Welfare	None	1,250
Top Achievers 3115 West Parker, Ste 298 Plano, TX 75023	Public	Health, Educ	None	1,000
Ultrasound Fd for Latin Amer P O Box 610 Lindale, TX 75771	Public	Health	None	5,000
United Front of Dallas, Inc 3550 E Overton Rd, Ste 1074 Dallas, TX 74216	Public	Health, Educ Welfare	None	1,000



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Utd Methodist Children's Home Public 1033 High Street Worthington, OH 43058		Health, Educ Welfare	None	10,000
University Heart Ctr-Tucson 1501 N. Campbell Avenue Tuscon, AZ 85724	Public	Education	None	5,000
U.S.M M A Foundation, Inc Babson Center Kings Point, NY 11024	Public	Health Educ Welfare	None	-0-
Vanderbilt University 2201 West End Avenue Nashville, TN 37203-9977	Public	Health	None	2,000
Vanguard Charitable Endowmt Fund P O Box 3075 Southeastern, PA 19398-9917	Public	Health, Educ Welfare	None	750,000
Visiting Nurse Association of the Treasure Coast 1111 36 <sup>th</sup> Street Vero Beach, FL 32960	Public	Health	None	-0-
World War II Memorial American Battle Monuments Commission P O Box 96766 Washington, DC 20090-6766	Public	War Memorial	None	-0-

1,761,140

## Underpayment of Estimated Tax by Corporations

See separate instructions  
Attach to the corporation's tax return

FORM 990-PF

2001

Name

ROSE-MARIE AND JACK R. ANDERSON  
FOUNDATION

Employer identification number

75-2542403

**Note** In most cases, the corporation does not need to file Form 2220 (See Part I below for exceptions.) The IRS will figure any penalty owed and bill the corporation. If the corporation does not need to file Form 2220, it may still use it to figure the penalty. Enter the amount from line 36 on the estimated tax penalty line of the corporation's income tax return, but do not attach Form 2220.

**Part I Reasons For Filing** - Check the boxes below that apply to the corporation. If any boxes are checked, the corporation must file Form 2220, even if it does not owe the penalty. If the box on line 1 or line 2 applies, the corporation may be able to lower or eliminate the penalty.

- 1 ☐ The corporation is using the annualized income installment method  
 2 ☐ The corporation is using the adjusted seasonal installment method  
 3 ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax

**Note** The corporation also must file Form 2220 if it has a suspended research credit allowed for the current year (see the instructions for line 4) or it is an indirectly affected taxpayer (see instructions).

**Part II Figuring the Underpayment**

4	Total tax (see instructions)	4	15,305.		
5a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 4	5a			
5b	Look-back interest included on line 4 under section 460(b)(2) for completed long-term contracts or of section 167(g) for property depreciated under the income forecast method	5b			
5c	Credit for Federal tax paid on fuels (see instructions)	5c			
5d	Total Add lines 5a through 5c	5d			
6	Subtract line 5d from line 4. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	6	15,305.		
7	Enter the tax shown on the corporation's 2000 income tax return. <b>Caution</b> See instructions before completing this line.	7	21,887.		
8	Enter the smaller of line 6 or line 7. If the corporation must skip line 7, enter the amount from line 6.	8	15,305.		
9	<b>Installment due dates</b> Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. <b>Exception</b> Enter October 1, 2001, instead of September 15, 2001.	(a)	(b)	(c)	(d)
9	05/15/01	06/15/01	10/01/01	12/15/01	
10	3,826.	3,827.	3,826.	3,826.	
11	4,446.			16,000.	
12		620.			
13		620.		16,000.	
14			3,207.	7,033.	
15	4,446.	620.	0.	8,967.	
16		0.	3,207.		
17		3,207.	3,826.		
18	620.				

Complete Part III on page 2 to figure the penalty. If there are no entries on line 17, no penalty is owed.

**Part III Figuring the Penalty**

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 3rd month after the close of the tax year, whichever is earlier (see instructions) (Form 990-PF and Form 990-T filers Use 5th month instead of 3rd month)				
20 Number of days from due date of installment on line 9 to the date shown on line 19				
21 Number of days on line 20 after 4/15/2001 and before 7/1/2001				
22 Underpayment on line 17 x Number of days on line 21 x 8% 365	\$	\$	\$	\$
23 Number of days on line 20 after 8/30/2001 and before 1/1/2002				
24 Underpayment on line 17 x Number of days on line 23 x 7% 365	\$	\$	\$	\$
25 Number of days on line 20 after 12/31/2001 and before 4/1/2002				
26 Underpayment on line 17 x Number of days on line 25 x 6% 365	\$	\$	\$	\$
27 Number of days on line 20 after 3/31/2002 and before 7/1/2002	SEE ATTACHED WORKSHEET			
28 Underpayment on line 17 x Number of days on line 27 x % 365	\$	\$	\$	\$
29 Number of days on line 20 after 8/30/2002 and before 10/1/2002				
30 Underpayment on line 17 x Number of days on line 29 x % 365	\$	\$	\$	\$
31 Number of days on line 20 after 9/30/2002 and before 1/1/2003				
32 Underpayment on line 17 x Number of days on line 31 x % 365	\$	\$	\$	\$
33 Number of days on line 20 after 12/31/2002 and before 2/16/2003				
34 Underpayment on line 17 x Number of days on line 33 x % 365	\$	\$	\$	\$
35 Add lines 22, 24, 26, 28, 30, 32, and 34	\$	\$	\$	\$
36 <b>Penalty</b> Add columns (a) through (d), of line 35 Enter the total here and on Form 1120, line 33, Form 1120-A, line 29, or the comparable line for other income tax returns				\$ 96.

\* For underpayments paid after March 31, 2002 For lines 28, 30, 32, and 34, use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS Web Site at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-1040 to get interest rate information.

JWA

Form 2220 2001

**FORM 990-PF  
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET**

Name(s) <b>ROSE-MARIE AND JACK R. ANDERSON FOUNDATION</b>				Identifying Number <b>75-2542403</b>	
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty
		-0-			
05/15/01	3,826.	3,826.			
05/15/01	<4,446.>	<620.>			
06/15/01	3,827.	3,207.	15	.000219178	11.
06/30/01	0.	3,207.	93	.000191781	57.
10/01/01	3,826.	7,033.	21	.000191781	28.
10/22/01	<16,000.>	<8,967.>			
12/15/01	3,826.	<5,141.>			
12/27/01	<2,000.>	<7,141.>			
12/31/01	0.	<7,141.>	135	.000164384	
Penalty Due (Sum of Column F)					<b>96.</b>

\* Date of estimated tax payment, withholding  
credit date or installment due date